## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

CERTAIN UNDERWRITERS AT
LLOYD'S, LONDON, LEXINGTON
INSURANCE COMPANY, and
WÜRTTEMBERGISCHE VERSICHERUNG AG

Plaintiffs

v.

STERLING FINANCIAL INVESTMENT
GROUP, INC., CHARLES P. GARCIA, THOMAS G.
KARIDAS, and VFINANCE INVESTMENTS, INC.

Defendants.

Civil Action

Case No.:

AUG 20 2007

Case No.:

U.S.D.C. S.D. N.Y.

CASHIERS

RULE 7.1 STATEMENT

Defendants.

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Wurttembergische Versicherung AG (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

Plaintiff Wurttembergische Versicherung AG is owned by Wustenrot and Wurttembergische AG which is publicly traded on the Berlin Stock Exchange, Dusseldorf Stock Exchange, Frankfurt Stock Exchange, XETRA Level 1 Market, and Over-the-Counter Market (symbol: WUWGN).

Date: Anjust 20,2007.

Frederick J. Wilmer (FW-7796)